

# Local–global generation properties of commutators in finite groups

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# Commutators and centralizers

Recall: a commutator  $[g, h] = g^{-1}h^{-1}gh$ ; it is  $= 1$  iff  $gh = hg$ .

In general, a small number of generators does not mean that the group is small.

But if a group  $G$  has finite number  $m$  of commutators, then the derived subgroup  $[G, G]$  is finite of  $m$ -bounded order. The proof is an exercise, follows from Schur's theorem.

Need another piece of notation:

the centralizer  $C_G(g) = \{x \in G \mid gx = xg\}$ .

Easy to see:  $|G : C_G(g)| = |\{[x, g] \mid x \in G\}|$ .

# Local–global generating property for order

## Example (folklore)

Suppose that in a group  $G$  there are finitely many commutators, say,  $m$ . Then  $[G, G]$  has  $m$ -bounded order.

**Proof:** Let  $\{[a_1, a_2], \dots, [a_{2m-1}, a_{2m}]\}$  be all the commutators. Note that  $|G : C_G(a_i)| \leq m$  for every  $i$ .

Since the  $[a_{2i-1}, a_{2i}]$  generate  $[G, G]$ , we can assume that  $G = \langle a_1, \dots, a_{2m} \rangle$ .

Then  $\bigcap_{i=1}^{2m} C_G(a_i)$  is a central subgroup of  $m$ -bounded index.

Then the derived subgroup  $[G, G]$  has  $m$ -bounded order by Schur's theorem. □

# Rank

## Definition

A group  $G$  has **rank** at most  $r$  if every subgroup of  $G$  can be generated by  $r$  elements.

(also known as the Prüfer rank)

Having a bound for the rank is a good thing:

- finite  $p$ -group of rank  $r$  has a powerful (very good:-)) subgroup of  $(p, r)$ -bounded index;
- a profinite group of finite rank is virtually prosoluble;
- a residually finite group of finite rank is virtually locally soluble;
- a finite soluble group of rank  $r$  has  $r$ -bounded Fitting height;
- etc.

## Local–global generating properties: rank

Analogue for rank: in general, a small number of generators does not mean that the group has small rank.

But for commutators we have the following corollary of one of our main results.

### Corollary

Let  $G$  be a finite group. Suppose that, for a positive integer  $r$ , any subgroup generated by a subset of commutators can be generated by  $r$  elements. Then the derived subgroup  $[G, G]$  has  $r$ -bounded rank.

(Actually, stronger results hold, details below.)

Thus the set of commutators has this ‘local–global’ property:

$r$ -generation by subsets of this generating set of  $[G, G]$

implies  $f(r)$ -generation of all subgroups of  $[G, G]$ .

# Commutator set

## Notation

For a subgroup  $S$  of a group  $G$ , let  $I_G(S)$  denote the set of all commutators

$$I_G(S) = \{[g, s] = g^{-1}g^s \mid g \in G, s \in S\}.$$

Then the commutator subgroup  $[G, S]$  is generated by  $I_G(S)$ .

In most cases,  $[G, S] \neq I_G(S)$ .

# Local–global rank properties of commutators

One of our main results.

## Theorem 1

*Let  $p$  be a prime,  $r$  a positive integer,  $G$  a  $p$ -soluble finite group, and  $P$  a Sylow  $p$ -subgroup of  $G$ . Suppose that any subgroup generated by a subset of  $I_G(P) = \{[g, h] \mid g \in G, h \in P\}$  can be generated by  $r$  elements. Then  $[G, P]$  has  $r$ -bounded rank.*

Thus the corresponding set of commutators  $I_G(P)$  has this ‘local–global’ property:  $r$ -generation by subsets of this generating set implies  $f(r)$ -generation of all subgroups of  $[G, P]$ .



## $p$ -Solubility essential

Recall that  $p$ -soluble means that there is a normal series with each factor either a  $p$ -group or a  $p'$ -group.

Examples show that the condition of  $p$ -solubility in Theorem 1 cannot be dropped.

### Example

For every prime  $p$  we produce a series of finite groups  $G$  having a Sylow  $p$ -subgroup  $P$  such that any subgroup generated by a subset of  $I_G(P)$  can be generated by 3 elements, while the rank of  $[G, P]$  is unbounded.

**Remark:** In fact, the groups  $G$  in these examples are  $SL_2(q)$  for certain prime-powers  $q$ . There is a hope (work in progress) that we could prove that these  $SL_2$  are the only exceptions, and then the theorem could be extended to all finite groups, with additional dependence on the ranks of such obstructions.

# Commutators in any finite groups

The following local–global result is proved for arbitrary finite groups.

## Theorem 2

*Let  $G$  be a finite group. Suppose that for every prime  $p$  dividing  $|G|$  for any Sylow  $p$ -subgroup  $P$ , any subgroup generated by a subset of  $I_G(P) = \{[g, h] \mid g \in G, h \in P\}$  can be generated by  $r$  elements. Then the derived subgroup  $[G, G]$  has  $r$ -bounded rank.*

(Stronger than that Corollary above, where the condition was on all commutators.)

# Automorphisms

As an important tool in the proofs, we prove the following result about automorphisms, which is also of independent interest.

## Theorem 3

*Suppose that  $G$  is a finite group admitting a group of coprime automorphisms  $A$  such that, for a positive integer  $r$ , any subgroup generated by a subset of  $I_G(A) = \{[g, a] = g^{-1}g^a \mid g \in G, a \in A\}$  can be generated by  $r$  elements.  
Then  $[G, A]$  has  $r$ -bounded rank.*

Here, “coprime” means that  $(|G|, |A|) = 1$ .

In the proofs we use the Lubotzky–Mann theory of powerful  $p$ -groups.

(For example, an analogue of Schur’s theorem holds for rank: if  $G/Z(G)$  has rank  $r$ , then the rank of  $[G, G]$  is  $r$ -bounded by Lubotzky–Mann.)

Other tools are provided by representation theory of Hall–Higman type.

## Remarks on ‘duality’ for automorphisms

A lot of important results derive nice properties of a finite group  $G$  from various smallness conditions on  $C_G(A)$  for groups of automorphisms  $A$ , many of these stemming from the works of J. G. Thompson and G. Higman on automorphisms with small  $|C_G(A)|$ .

In the same vein, Khukhro & Mazurov 2005–06 proved results on finite groups  $G$  with a group of automorphisms  $A$  such that  $C_G(A)$  has small rank. For example, if  $A$  is cyclic of prime order  $p$  (in addition coprime to  $|G|$  if  $G$  is insoluble), then there are normal subgroups  $N \leq H \leq G$  with  $G/H$  and  $N$  of  $(p, r)$ -bounded rank and  $H/N$  nilpotent of  $p$ -bounded class.

The set  $I_G(A) = \{[g, a] \mid g \in G, a \in A\}$  is in a sense dual to the set of fixed points  $C_G(A)$ . For example, for an automorphism  $\alpha$  we have  $|I_G(\alpha)| = |G : C_G(\alpha)|$ .

Theorem 3 represents the ‘dual’ direction, where conditions on the set  $I_G(A)$  strongly influence the structure of the subgroup  $[G, A]$ .

## Carter subgroups

A nilpotent self-normalizing subgroup is called a Carter subgroup.

For example, if  $A$  is a nilpotent group of automorphisms of  $G$  such that  $C_G(A) = 1$ , then  $A$  is a Carter subgroup of the semidirect product  $G \rtimes A$ .

Carter proved that every finite soluble group has Carter subgroups, they are all conjugate, and the image of a Carter subgroup in a quotient is a Carter subgroup of this quotient. Vdovin recently used CFSG to prove the same for any finite group that has a Carter subgroup; he also determined which almost simple groups have Carter subgroups.

Carter subgroups often behave like coprime groups of automorphisms. For example, Thompson proved that the Fitting height of a finite soluble group  $G$  is bounded in terms of composition length of  $A$  and the Fitting height of  $C_G(A)$  for a soluble group of coprime automorphisms  $A \leq \text{Aut } G$ . Then Dade obtained a bound for the Fitting height of a soluble group  $G$  in terms of the composition length of a Carter subgroup  $C$ .

# Commutators and Carter subgroups

## Theorem 4

*Let  $C$  be a Carter subgroup of a soluble finite group  $G$ . Suppose that any subgroup generated by a subset of  $I_G(C) = \{[g, c] \mid g \in G, c \in C\}$  can be generated by  $r$  elements. Then  $G' = [G, C]$  has  $r$ -bounded rank.*

Theorem 4 does not hold without the assumption of solubility, as shown by examples. But such examples seem to exist only for a small class of almost simple groups, so it should be possible to obtain a bound for the rank of  $G'$  in terms of  $r$  and the ranks of these obstructions.

## Theorem 5 (Work in progress)

*Suppose that a finite group  $G$  contains a Carter subgroup  $C$  such that any subgroup generated by a subset of  $I_G(C)$  can be generated by  $r$  elements. Let  $l$  be the maximum rank of composition factors of  $G$  isomorphic to  $PSL_2(q)$  for  $q \equiv 7 \pmod{8}$ . Then  $[G, G] = [G, C]$  has  $(r, l)$ -bounded rank.*

# Proofs depend on the classification

The proofs of Theorems 1, 2, 3, 5 depend on the classification of finite simple groups.

# More on the proof for automorphisms

## Recall: Theorem 3

$G = [G, A]$ , coprime automorphisms  $A$ , any subgroup generated by a subset of  $I_G(A)$  is  $r$ -generated. Then  $G$  has  $r$ -bounded rank.

First the result is proved for nilpotent  $G$ .

Then for semisimple  $G$  (direct product of simple groups).

Next the exponent of the automorphisms induced by  $A$  on  $G/F^*(G)$  is  $r$ -bounded. Combined with AGS-TAMS: the Fitting height of soluble  $A$ -invariant sections with  $H = [H, A]$  is  $r$ -bounded, as well as proof for cyclic  $A$ .

Next key proposition: can assume  $G$  is generated by  $r$ -boundedly many orbits of elements of  $I_G(A)$  under the action of  $A$ .

All of above is used for reducing to the case where  $A$  is a  $p$ -group of  $r$ -bounded derived length, and finishing the proof by induction on this derived length.



## Using theory of powerful $p$ -groups

For  $G$  nilpotent, it is sufficient to consider a finite  $p$ -group  $G = [G, A]$ .  
(Showing here case  $p \neq 2$ , while for  $p = 2$  similar.)

(1) If  $M$  is a normal  $A$ -invariant subgroup of  $G$  and  $|I_M(A)| = p^m$ , then  $M \leq \zeta_{2m+1}(G)$ .

Indeed, if  $M \cap \zeta_2(G) \not\leq Z(G)$ , then  $M \cap \zeta_2(G)$  must have nontrivial elements of  $I_G(A)$ : if  $A$  centralized  $M \cap \zeta_2$ , then  $G = [G, A]$  would centralize  $M \cap \zeta_2$ , a contradiction. Then induction applies.

(2) The rank of  $A$ -inv. sections  $S = [S, A]$  of exponent  $p$  is  $r$ -bounded:

Thompson's critical subgroup  $C$  has  $|[Z(C), A]| \leq p^r$ , so  $Z(C) \leq \zeta_{2r+1}$  by (1). Since  $[C, G] \leq Z(C)$ , we obtain  $C \leq \zeta_{2r+2}$ . Hence  $[C, \gamma_{2r+2}] = 1$ , whence  $\gamma_{2r+2} \leq Z(C) \leq \zeta_{2r+1}$ , so  $S = [S, A]$  has  $r$ -bounded nilp. class, and is  $r$ -generated, so its rank is  $r$ -bounded.

(3)  $N = \gamma_{f(r)}(G)$  is powerful:

assuming  $N^p = 1$  have  $[N, A]$  small by (2), whence  $N \leq \zeta_{f(r)}$  by (1), whence  $[N, N] \leq [\zeta_{f(r)}, \gamma_{f(r)}] = 1$ . (Meaning that  $[N, N] \leq N^p$  in general.)

(4)  $N = \gamma_{f(r)}(G)$  is generated by  $g(r)$  elements:

in  $G/\Phi(N)$  the order  $||\bar{N}, A|| \leq p^r$ , whence  $\bar{N} \leq \zeta_{2r+1}$  by (1), so  $G/\Phi(N)$  has  $r$ -bounded class, plus  $r$ -generated  $G = [G, A]$ .

(5) The rank of  $G$  is  $r$ -bounded:

$G/N$  has  $r$ -bounded class and  $r$ -generated, so has  $r$ -bounded rank;  $N$  is powerful and generated by  $g(r)$  elements, so has rank at most  $g(r)$ .

# Semisimple groups

First the classification is used to show that the rank is  $r$ -bounded when  $G = [G, A]$  is a simple non-abelian group.

Now let  $G = [G, A] = S_1 \times \cdots \times S_m$  be a direct product of non-abelian simple groups transitively permuted by  $A$ . Claim: then  $m - 1 \leq r$ .

Indeed, take an involution  $t \in S_1$ ; then some  $t^{-1}t^a$  with  $a \in A$  will give an elementary abelian subgroup of rank  $m - 1$  generated by elements of  $I_G(A)$ , so  $m - 1 \leq r$  by hypothesis.

Further consideration and completion of the semisimple case use a theorem from AGS-TAMS paper:  
there are  $g \in G$  and  $a \in A$  such that  $[g, a]$  has even order.

## Applying Hall–Higman type results

Recall:  $G = [G, A]$ , coprime automorphisms  $A$ , any subgroup generated by a subset of  $I_G(A)$  is  $r$ -generated. We wish to show that the exponent of the automorphisms induced by  $A$  on  $G/F^*(G)$  is  $r$ -bounded.

Can assume  $A = \langle \alpha \rangle$  of prime-power order  $p^m$  on  $G/F^*(G)$ .

By previous step for semisimple groups it is sufficient to consider Sylow  $s$ -subgroup  $S$  of  $F(G/F^*(G))$  with  $SA$  acting on  $V$ , an elementary abelian  $q$ -subgroup of  $F(G)$ , where  $q \neq s, p$ .

This is a so-called ‘non-modular’ Hall–Higman–type situation: the semidirect product  $S\langle \alpha \rangle$  acts faithfully by linear transformations on  $V$  regarded as a vector space over  $\mathbb{F}_q$ .

The classical results of Dade–Shult–Gross would apply if  $\langle \alpha \rangle$  also acted faithfully on  $S$ : the conclusion would be the minimum polynomial of  $\alpha$  on  $V$  has degree at least  $p^m - p^{m-1}$ , which would work in our favour for the rank. But in general here we cannot assume faithful action of  $\langle \alpha \rangle$  on  $S$ .

# Khukhro–Moens variation and connection with rank

Recall:  $p$ -automorphism  $\alpha$  acts on an  $s$ -group  $S$ , and the semidirect product  $S\langle\alpha\rangle$  acts faithfully by linear transformations on  $V$  regarded as a vector space over  $\mathbb{F}_q$  (but  $\langle\alpha\rangle$  may not act faithfully on  $S$ ). Goal: the order  $p^m$  of the automorphism of  $S$  induced by  $\alpha$  is  $r$ -bounded.

Fortunately, this more general situation was considered in a recent paper of Khukhro and Moens 2022, where it was indeed proved that the minimum polynomial of  $\alpha$  on  $V$  has degree at least  $p^m - p^{m-1}$ .

This degree

= dimension of the span of the orbit of some  $v \in V$  under  $\langle\alpha\rangle$ .

But elements  $-v + v^\alpha$  belong to  $I_G(\alpha)$ , so  $p^m - p^{m-1} - 1 \leq r$  by hypothesis, whence  $p^m$  is  $r$ -bounded, as required.

# Completion of proof of automorphism result

Key proposition: can assume  $G$  is generated by  $r$ -boundedly many orbits of elements of  $I_G(A)$  under the action of  $A$ .

All of above is used for reducing to the case where  $A$  is  $p$ -group of  $r$ -bounded derived length, and finishing the proof by induction on this derived length.

Theorem 3 and some of the lemmas in its proof are used in the proofs of Theorems 1, 2 and 4, 5.

## Most recent development (last week:-))

Progress towards dropping the condition of coprimeness of automorphisms.

Thank you!